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International Plant
Management, Inc.

Fax

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MAY 18 2004

OFFICE OF PETITIONS**OFFICIAL****To: Retta Williams****From: Wanda Heuser Gale****FAX: 703-872-9306****Date: 05/13/04**

Dear Ms. Williams,

Following please find the 37. CFR 3.73(b) that you requested for Application #
09/894,311, 'Ridgewood Sweet Cherry Cultivar'

If you need any further information, please do not hesitate to contact me.

Sincerely,



Wanda Heuser Gale
International Plant Management

PTO/BB53 (08-03)

Approved for use through 07/31/2005. OMB 0321-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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STATEMENT UNDER 37 CFR 2.73(b)

Applicant/Patent Owner: CORNELL RESEARCH FOUNDATION, INC.Application No./Patent No.: 09/894,311 Filed/Issue Date: 06/27/2001Entitled: 'Ridgewood' CherryCornell Research Foundation, Inc. corporation
(Name of Assignee) (Type of Assignee, e.g., corporation, partnership, limited liability company, etc.)

states that it is:

1. ☒ the assignee of the entire right, title, and interest; or2. ☐ an assignee of less than the entire right, title and interest.

The extent (by percentage) of its ownership interest is _____ %

In the patent application/patent identified above by virtue of either:

A. ☐ An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel _____, Frame _____, or for which a copy thereof is attached.

OR

B. ☐ A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as shown below:1. From: Way et al To: Cornell Research Foundation, Inc.The document was recorded in the United States Patent and Trademark Office at Reel 014031, Frame 08666, or for which a copy thereof is attached.

2. From: _____ To: _____

The document was recorded in the United States Patent and Trademark Office at Reel _____, Frame _____, or for which a copy thereof is attached.

3. From: _____ To: _____

The document was recorded in the United States Patent and Trademark Office at Reel _____, Frame _____, or for which a copy thereof is attached.

☐ Additional documents in the chain of title are listed on a supplemental sheet.☐ Copies of assignments or other documents in the chain of title are attached.

(NOTE: A separate copy (i.e., the original assignment document or a true copy of the original document) must be submitted to Assignment Division in accordance with 37 CFR Part 3, if the assignment is to be recorded in the records of the USPTO. See MPEP 302.08)

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.

may 13, 2004

Date

607-257-1081

Telephone number

RICHARD S. CANOON
Typed or printed name
R. S. Canoon
Signature
SENIOR VICE PRESIDENT
Title

This collection of information is required by 37 CFR 2.72(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1058, Alexandria, VA 22315-1458. DO NOT SEND PERS OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1469, Alexandria, VA 22315-1469.

If you need assistance in completing the form, call 1-800-PTO-0180 and select option 2.

Form PTO-1503
(Rev. 03/01)

OMB No. 0351-0027 (exp. 5/31/2002)

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RECORDATION FORM COVER SHEET
PATENTS ONLY

U.S. DEPARTMENT OF COMMERCE
U.S. Patent and Trademark Office

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):
Robert Andersen, 112 White Springs Ln.
Geneva, NY 14456 and
Susan K. Brown, 65 Snell Road
Geneva, NY 14456
Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

2. Name and address of receiving party(ies)
Name: Cornell Research Foundation, Inc.
Internal Address: _____

3. Nature of conveyance:
☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Other _____

Street Address: 20 Thornwood Drive
Suite 105
City: Ithaca State: NY Zip: 14850

Execution Date: _____

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or patent number(s): 09/894,311 'Ridgewood'
If this document is being filed together with a new application, the execution date of the application is: _____
A. Patent Application No.(s) B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Wanda Heuser Gale
Internal Address: IP protection and
Marketing

Street Address: 55826 60th Avenue

City: Lawrence State: MI Zip: 49064

6. Total number of applications and patents involved: ☐

7. Total fee (37 CFR 3.41).....\$ _____

☐ Enclosed Paid with original
Submission
☐ Authorized to be charged to deposit account

8. Deposit account number: _____

(Attach duplicate copy of this page if paying by deposit account)

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9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Wanda Heuser Gale
Name of Person Signing

[Signature]
Signature

09/29/2003
Date

Total number of pages including cover sheet, attachments, and documents: ☐

Mail documents to be recorded with required cover sheet information to:
Commissioner of Patents & Trademarks, Box Assignments
Washington, D.C. 20231

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ASSIGNMENT OF APPLICATION

Docket Number (Optional)

Whereas, I/We, Susan Brown of NYSAES, Geneva, NY, hereafter referred to as applicant, have invented certain new and useful improvements in _____

Sweet Cherry Trees 'Ridgewood' cv,

☒ for which an application for a United States Patent was filed on 06/27/2001
Application Number 09/894,311.

☐ for which an application for a United States Patent was executed on _____, and

Whereas, Cornell Research Foundation, Inc. of _____ here referred to "assignee" whose mailing address is 20 Thornwood Drive, Suite 105, Ithaca, NY 14850 is desirous of acquiring the entire right, title and interest in the same;

Now, therefore, in consideration of the sum of one dollars (\$ 1.00), the receipt whereof is acknowledge, and other good and valuable consideration, I/We, the applicant(s), by these presents do sell, assign and transfer unto said assignee the full and exclusive right to the said invention in the United States and the entire rights, title and interest in and to any and all Patents which may be granted therefore in the United States. I/We hereby authorize and request the Director of the U.S. Patent and Trademark Office to issue said United States Patent to said assignee, of the entire right, title, and interest in and to the same, for his sole use and behoof; and for the use and behoof of his legal representatives, to the full end of the term for which said Patent may be granted, as fully and entirely as the same would have been held by me had this assignment and sale not been made.

Executed this 2nd day of October, 20 03
at NYSAES, Cornell Univ., Geneva, NY 14456

Susan K. Brown
Signature

State of New York)
County of Ontario) SS:

Susan K. Brown
Printed Name/Registration No., if applicable

Before me personally appeared said Susan K. Brown
and acknowledged the foregoing instrument to be his free act and deed this 2
day of October, 20 03.

Seal
KATHLEEN M. MORABITO
Notary Public, State of New York
Ontario County No. 01MO 6030849
Commission Expires Sept. 20, 20 05

Kathleen M Morabito

Note: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required. Submit multiple forms if more than one signature is required. See below.

☐ Total of _____ forms are submitted.

This form offers a sample or suggested format for an assignment document. This sample form is not an OMB officially approved form.

ASSIGNMENT OF APPLICATION

Docket Number (Optional)

Whereas, I/We, Robert Andersen of NYSAES, Geneva, NY, hereafter referred to as applicant, have invented certain new and useful improvements in

Sweet Cherry Trees 'Ridgewood' cv.

☒ for which an application for a United States Patent was filed on 06/27/2001

Application Number 09/894,311

☐ for which an application for a United States Patent was executed on _____, and

Whereas, Cornell Research Foundation, Inc. of _____ here referred to "assignee" whose mailing address is 20 Thornwood Drive, Suite 105, Ithaca, NY 14850 is desirous of acquiring the entire right, title and interest in the same;

Now, therefore, in consideration of the sum of one dollars (\$ 1.00), the receipt whereof is acknowledge, and other good and valuable consideration, I/We, the applicant(s), by these presents do sell, assign and transfer unto said assignee the full and exclusive right to the said invention in the United States and the entire rights, title and interest in and to any and all Patents which may be granted therefore in the United States. I/We hereby authorize and request the Director of the U.S. Patent and Trademark Office to issue said United States Patent to said assignee, of the entire right, title, and interest in and to the same, for his sole use and behoof; and for the use and behoof of his legal representatives, to the full end of the term for which said Patent may be granted, as fully and entirely as the same would have been held by me had this assignment and sale not been made.

Executed this 30th day of September, 20 03

at Geneva, NY

Robert L. Andersen
Signature

State of New York

SS:

County of Ontario

Robert L. Andersen
Printed Name/Registration No., if applicable

Before me personally appeared said Robert L. Andersen

and acknowledged the foregoing instrument to be his free act and deed this 30

day of September, 20 03

KATHLEEN M. MORABITO
Notary Public, State of New York
Ontario County No. 01MO 6030849
Commission Expires Sept. 20, 20 05

Seal

Kathleen M Morabito

Note: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required. Submit multiple forms if more than one signature is required. See below.

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